

# Advanced retinal pigment epithelium analysis by SD-OCT to monitor dry AMD progression

This article provides a short overview of developments in optical coherence tomography (OCT) technology and highlights the advantages of spectral domain OCT, which allows for high-speed, high-resolution imaging of retinal structures. Established clinical applications to diagnose and monitor macular diseases are summarized and the rapidly evolving role of OCT technology in this field is described. Recent clinical studies show that spectral domain OCT permits volumetric measurement of drusen and might be used for quantitative assessment of drusen progression. Furthermore, spectral domain OCT fundus images can be used to evaluate atrophic lesions of geographic atrophy. A new retinal pigment epithelium analysis tool is presented that should allow for automated, quantitative assessment of drusen and atrophic lesions and could potentially provide more objective and easier classification of age-related macular degeneration.

**KEYWORDS:** advanced retinal pigment epithelium analysis • age-related macular degeneration • drusen • geographic atrophy • quantitative assessment • spectral domain optical coherence tomography • time domain optical coherence tomography

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### Development of OCT technology

Over the past 20 years, optical coherence tomography (OCT) has rapidly developed and today is a powerful tool for diagnosing, monitoring and managing diseases of the retina and glaucoma. OCT functions as a type of optical biopsy, providing high-resolution information on retinal pathology *in situ* and in real time. Thus, it provides images of the retinal structure that cannot be obtained by any other noninvasive diagnostic device [1]. In addition, recent technology developments also provide information on blood flow. Measurements with Doppler-OCT showed good repeatability and excellent correlation with visual field and clinical presentations and might contribute to a better understanding of retinal and optic nerve diseases [2].

In time domain-OCT (TD-OCT), which was commercialized in 1996, a reflected beam of light is compared with a beam of light of a reference mirror and time delays between the two beams are measured [3–5]. TD-OCT measurements have been standard for many years, however, the relatively slow acquisition time of TD-OCT resulted in limited resolution of images and in artifacts due to eye movements. Furthermore, owing to the restricted acquisition of large volumetric data sets, quantitative assessment of retinal pathologies with TD-OCT is limited.

An important milestone of retinal imaging was the development of high-speed Fourier domain or spectral detection techniques, resulting in significant improvements in OCT imaging

performance [1,6]. OCT with spectral/Fourier domain detection (SD-OCT) is a form of non-invasive, low-coherence interferometry that produces high-resolution tomograms without contacting the eye. In low-coherence interferometry, light is sent along two optical paths, one being the sample path (into the eye) and the other the reference path of the interferometer. The light source is an 840 nm superluminescent light-emitting diode. Light returning from the sample and reference paths is combined at the detector, which is a spectrometer in SD-OCT. The spectrometer resolves the interference signals throughout the depth of each A-Scan immediately by means of a Fourier transformation. This is possible because the spectrometer resolves the relative amplitudes and phases of the spectral components scattered back from all depths of each A-scan tissue sample, without varying the length of the reference path.

Thus, SD-OCT measures echo time delays of light by taking the Fourier transform of the interference spectrum of the light signal. Different echo time delays of light produce different frequencies of fringes in the interference spectrum. A Fourier transform is a mathematical procedure that extracts the frequency spectrum of a signal. Different tissue structures produce different echo time delays of light so that the interference spectrum is composed of different frequencies [7]. Since SD-OCT can measure all echoes of light from different delays simultaneously, it offers a significant sensitivity advantage,

thereby providing a dramatic increase in acquisition speed in comparison with TD-OCT [8]. With SD-OCT 25,000–100,000 A-scans/s are routinely acquirable, which is more than 100-times faster than TD-OCT. This permits important sensitivity improvements and less susceptibility to eye movements. Image depth is limited by light penetration into the retinal tissue. Most commercial OCT systems use light sources with a wavelength between 800 and 900 nm allowing good imaging of the retina. For improved imaging of the choroid light, sources with wavelengths above 1000 nm have been used [9].

SD-OCT provides more detailed images and more data with improved speed and accuracy for in-depth analysis (FIGURE 1). Depending on the type of information desired, different SD-OCT scan patterns can be used; high-density, averaged cross-sectional B-scans exhibit even small ultrastructural changes [1,10]. A dense raster scan consisting of multiple, adjacent B-scans can be performed to cover a volume of the retina. This allows for acquiring complete 3D data sets in a short time frame, so SD-OCT imaging can display the real retinal geometry [1,7,11,12]. Another clinically important feature is the generation of an OCT fundus image (i.e., OCT view from the fundus) similar to the one obtained by standard fundus photography. It is generated by summing the 3D-OCT data set along the axial direction and represents an *en face* summary of all of the adjacent B-scans from the data set. The OCT fundus image can be used to register individual

OCT tomograms precisely and reproducibly with respect to fundus features, so exact correlations between retinal landmarks of the fundus image and the corresponding cross-sectional retinal image (B-scan) can be achieved [1,7,13,14].

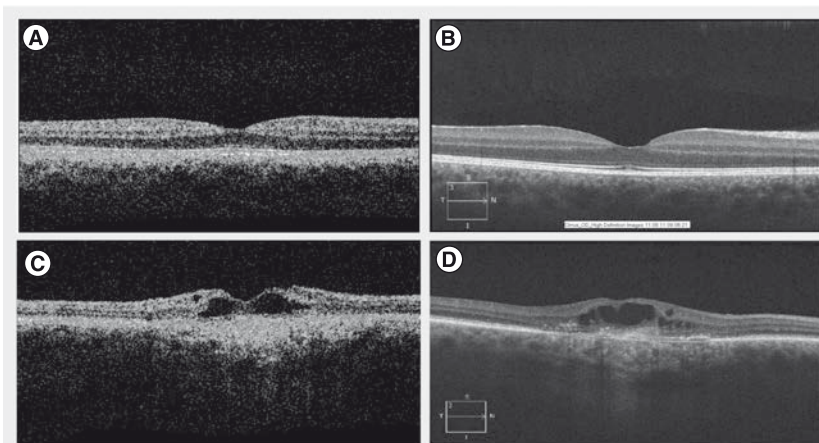
### Progressive implementation of SD-OCT in clinical practice

As a result of these advantages SD-OCT exhibits improved clinical value for the assessment of eyes with various retinal diseases. It offers new insights into the pathogenesis of macular abnormalities and is, therefore, progressively utilized in diagnosing and monitoring macular diseases, including vitreomacular traction syndrome, cystoid macular edema/diabetic macular edema, epiretinal membranes, full-thickness macular holes, lamellar holes, pseudoholes and microholes [15,16]. Moreover, owing to its high resolution, SD-OCT is utilized to evaluate the antiexudative effect of intravitreal antiangiogenic drugs and has thus progressively become an important tool in the management of patients with neovascular age-related macular degeneration (nAMD) [17–20]. Recent findings conclude that several functional parameters to detect choroidal neovascularization recurrences (e.g., subjective patient perception, Amsler grid, visual acuity and fundus examination) lead to pronounced underestimations, whereas morphologic recurrences can be detected by SD-OCT prior to these functional deteriorations. As in nAMD any delay of treatment can result in irreversible vision loss, the authors propose to provide monthly OCT controls to detect recurrences as early as possible [21].

However, while OCT seems to be established as a helpful diagnostic tool to closely monitor the therapeutic effect of antiangiogenic drugs in nAMD, its role regarding diagnosis and progression evaluation of dry AMD (dAMD) is not yet clear, but rapidly developing.

### The role of SD-OCT in dAMD

dAMD is characterized by different clinical features like drusen, pigment abnormalities and geographic atrophy (GA). The earliest morphologic feature of dAMD is extracellular deposit accumulation between retinal pigment epithelium (RPE) and Bruch's membrane. As these deposits slowly increase, they can be seen as soft drusen and/or localized RPE detachments, which are known to be risk factors for the progression of AMD [22,23]. As the drusen enlarge, they can contribute to either the development of new blood vessels (nAMD) and/or the slow loss of photoreceptors, which can be accompanied by thinning (atrophy)



**Figure 1. Time domain and spectral domain images of a macula.** B-mode scans of a macula with no pathologies recorded with (A) time-domain optical coherence tomography (OCT) and (B) spectral domain OCT. B-mode scans of a patient with age-related macular degeneration recorded (C) with time domain OCT and (D) spectral domain OCT. (A & C) Time domain images were recorded using the Stratus OCT (Carl Zeiss Meditec Inc., Dublin, CA, USA), the scan type used was "Fast Macular Thickness Map". (B & D) Spectral domain images were recorded using Cirrus OCT (Carl Zeiss Meditec Inc.), the scan type used was "Macular Cube Scan".

of RPE cells leading to GA, the advanced form of dAMD. Even if the biochemical processes of atrophy are not fully understood yet [24,25], pigmentary changes, as well as the increase in size and number of drusen, are used for staging AMD and for predicting the likelihood of progression [26–28].

So far, stereoscopic color fundus photographs are the gold standard for reading centers to assess drusen number and size as well as pigmentary changes for predicting disease progression [29,30]. Furthermore, fundus autofluorescence (FAF), based on the autofluorescence properties of RPE cells due to lipofuscin granules, is used for non-invasively imaging atrophic lesions in GA and has been used to indicate GA progression [31–33]. However, although both approaches are used for staging and predicting AMD progression, they still exhibit some limitations; measuring GA and drusen using color fundus photography is challenging and entails the risk of interobserver variability. Moreover, reproducibility and the accuracy of delineating GA by fundus photography have been reported to be moderate [31,34–36]. Attempts to implement fully automated digital techniques for evaluating color fundus photographs have not yet gained widespread acceptance for several reasons [37]. In addition, both approaches allow for 2D assessment of the macula only, but do not provide any 3D information regarding morphological changes in retinal structure. This impedes volumetric measurement of drusen and thus quantitative measurement of disease progression. Quantitative assessment of drusen, however, might be an important parameter in clinical trials to evaluate efficacy of new drugs against AMD [13].

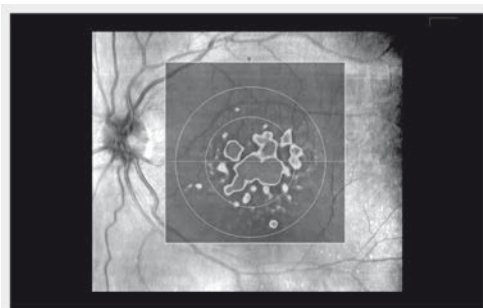
With regard to these limitations SD-OCT imaging offers several advantages: the same scan pattern can be used to obtain image data on both drusen and atrophic lesions of GA, and SD-OCT allows for acquiring 3D data providing more detailed information on disease-specific changes in retinal structures and permits volumetric measurement of drusen for quantitative assessment of drusen progression.

### Evaluation of drusen & atrophic lesions with SD-OCT

It has already been demonstrated that SD-OCT can be used for imaging the ultrastructure of drusen *in vivo*, because high-quality B-scans allow the detection of new details of drusen structure and morphology. In a study with 31 eyes Khanifar *et al.* categorized ultrastructural characteristics of drusen with SD-OCT and classified drusen according to shape,

predominant internal reflectivity and presence of overlying hyper-reflective foci. They concluded that distinct subclasses of drusen may serve as imaging biomarkers for disease severity or risk of progression [10]. Jain *et al.* showed good agreement in drusen detection between fundus photography and SD-OCT [38]. Also, Freeman *et al.* concluded from a study with 36 eyes that drusen volume manually determined by SD-OCT correlates with AREDS-determined drusen area and AREDS grade in nAMD and can provide additional information in grading the severity of eyes with dAMD [39]. Schlanitz *et al.* examined 1356 drusen in 12 eyes of AMD patients using different SD-OCT devices. They concluded that SD-OCT imaging provided an excellent performance in visualizing drusen-related RPE disease [40]. However, manual grading of SD-OCT images is time consuming and carries the risk of interobserver variability. Recent studies have focused on establishing and evaluating algorithms that allow for automated volumetric assessment of drusen and thus would provide a novel strategy for following disease progression [41–43]. Yi *et al.* compared TD-OCT and SD-OCT imaging in three patients with nAMD and present the first data on an automated algorithm evaluating drusen [41]. Yehoshua *et al.* as well as Gregori *et al.* evaluated efficacy and reproducibility of a new automated algorithm for drusen assessment and are described in more detail in the ‘Advanced RPE Analysis tool’ section [42,43].

Regarding GA, several studies have shown that SD-OCT imaging provides more detailed insight in retinal alterations of GA patients than FAF and helped to expand the knowledge of disease-specific retinal alterations and disease progression [44–51]. Bearely *et al.* demonstrated that SD-OCT margins of GA can be easily identified on SD-OCT fundus images and that B-scans relative to these GA margins can be graded for quantifying photoreceptor losses [48]. In a study with an admittedly low number of five patients with GA Lujan *et al.* were the first to compare lesion size in SD-OCT fundus images with FAF images. They reported that size and shape of atrophic areas obtained from both devices correlated well and OCT-fundus images can be used to measure and identify areas of GA [45]. Furthermore, two recent studies comparing SD-OCT and FAF, including 21 and 81 eyes, respectively, confirmed the results described by Lujan *et al.* and showed good correlation between lesion size measured with both devices, while foveal involvement was more



**Figure 2. Retinal pigment epithelium elevation map as an overlay on the fundus image provided by the new Advanced RPE Analysis tool.**

Image courtesy of Carl Zeiss Meditec Inc. (Dublin, CA, USA).

accurately determinable with SD-OCT [50,51]. Yehoshua *et al.* evaluated the area and enlargement rate of atrophic lesions in a prospective study with 81 eyes and a mean follow-up time of 1.24 years. They demonstrated high reproducibility and concluded that SD-OCT fundus images can be used to visualize and quantify GA and that precision is sufficient to reliably measure lesions as small as 0.12 mm<sup>2</sup> (0.05 disc area) [52]. So far, both approaches (FAF and SD-OCT) appear to reproducibly and precisely measure the central area of GA with SD-OCT, offering more detailed insight in RPE layers. However, SD-OCT allows detailed visualization of reactive changes in the RPE cells in the junctional zone of GA [47,48]. This contributes to a better understanding of disease-specific alterations of retinal layers and might finally help to clarify whether the primary origin of atrophic AMD is photoreceptor loss or RPE loss.

#### Advanced RPE Analysis tool

Manual grading of SD-OCT images is time consuming and carries the risk of interobserver variability. Recently a new software analysis tool has been developed, which might be helpful in providing automated, quantitative assessment of changes in drusen size and volume as well as in changes of GA areas. The new software analysis tool 'Advanced RPE Analysis' (Carl Zeiss Meditec, Inc., CA, USA) is based on two new algorithms, one to measure elevations in the RPE for identifying drusen, and one to measure areas of sub-RPE illumination where the OCT is able to penetrate through to the choroid, indicating that the RPE is atrophic.

Maps based on each analysis, as well as a map that integrates both analyses allows a visualization of these disturbances in the RPE, and numerical results are provided to summarize

them. Within the Advanced RPE Analysis tool there are two screens available for reviewing the results. The first screen shows both the RPE elevation (RPE Elevation Map) and the Sub-RPE illumination results (Sub-RPE Slab) separately as *en face* images. The second screen shows the integrated analysis along with calculated values.

The analysis on the first screen displays an RPE Elevation Map as an overlay on the fundus image, which shows circles corresponding to 3 and 5 mm in diameter centered on the fovea. The pseudo-color aids in identifying bumps and discontinuities in the RPE. Legends at the lateral margins of the map show correlation of color to the height of the elevations (FIGURE 2). It is important to understand, that RPE segmentation is used as the starting point for the drusen analysis. So strictly speaking this analysis detects elevations in the RPE. To identify RPE elevations, a curve is fitted to the RPE segmentation that seeks to exclude deformations of the RPE and follow the curvature of the eye. The region between the RPE segmentation and the curve fit is designated as RPE elevation. To reduce spurious noise and to allow for some variability in fitting, only areas where the elevation exceeds ten pixels (19.5 μm) are used for calculations of RPE elevation area and volume (FIGURE 3).

For identifying GA, the penetration of light beneath the RPE in areas of atrophy is analyzed. The OCT image volume beneath the level of the RPE is processed to create a 2D *en face* projection image that shows bright spots where the atrophy of RPE allows light to penetrate into the choroid. Segmentation is then performed on this *en face* image to identify areas with deeper light penetration (sub-RPE illumination) indicating areas of atrophic RPE. The Sub-RPE Slab represents the summed reflectivity in the region below Bruch's membrane. This slab shows the location of the fovea with a dot marking and a circle corresponding to 5 mm in diameter centered on the fovea. It also shows a red line from the fovea to the closest area with sub-RPE illumination, which indicates the distance from the fovea to the closest area of GA (FIGURE 4).

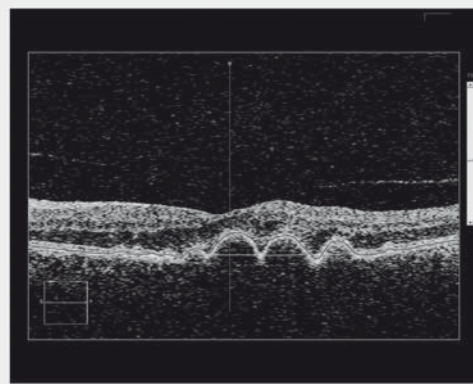
So far, only very preliminary data on the efficacy and reproducibility of this new analyzing tool are available. Two recent studies showed efficacy and reproducibility of the algorithm underlying the measurement of RPE elevations (indicating drusen). Yehoshua *et al.* analyzed 143 eyes with at least 6 months follow-up using the new algorithm and concluded that quantitative changes in drusen area and volume can be observed over time with SD-OCT imaging [42].

Furthermore Gregori *et al.* used the novel algorithm to quantitatively assess drusen volume and area in 103 eyes from 74 patients with drusen. Measurements were taken from the entire scans as well as from 3 and 5 mm circles around the fovea. The new algorithm was shown to be highly reproducible for qualitative and quantitative assessment of drusen. The authors concluded that the ability to quantitatively assess drusen volume may be a new and useful parameter to monitor disease progression, particularly in trials for treatments of nAMD [43]. However, they pointed out that although drusen measurement with SD-OCT seems to correlate well with fundus photography, both methods deliver different information: SD-OCT images indicate RPE disruption, whereas fundus photographs show pigmentary changes. So, owing to threshold limitations, very shallow drusen may be missed in SD-OCT, whereas not all RPE deformations must be associated with pigmentary changes and thus will not appear on fundus photographs. Therefore, SD-OCT images and color fundus photographs offer complimentary information on drusen and both may have an important role in assessing drusen in patients with AMD.

Sharma *et al.* provided results on the new Cirrus RPE Analysis tool at the Annual Meeting of the Association for Research in Vision and Ophthalmology (ARVO) in 2011 comparing automated versus manual analysis of SD-OCT imaging in 15 eyes with dAMD [53]. They determined drusen number, drusen area and area of GA in a 6-mm circle centered around the fovea. Although the new Cirrus RPE Analysis tool showed higher accuracy than another automated analysis software, there was still an error rate in identifying drusen. The tool was very accurate in determining area of GA but tended to result in a larger area compared with manual measurement (4.8 vs 4.1 mm<sup>2</sup> on average). Further studies to prove accuracy, reproducibility and feasibility of this new analysis tool are required.

### Conclusion

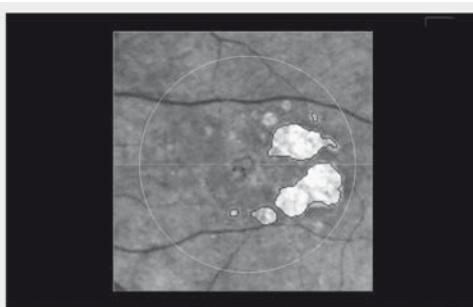
Today SD-OCT allows for high-speed, high-resolution imaging of retinal structures. It functions as a type of optical biopsy, 3D information providing noninvasively on retinal pathology *in situ* and in real time. It is progressively utilized in diagnosing and monitoring different macular diseases and in evaluating the antiexudative effect of intravitreal antiangiogenic drugs. Thus, it has progressively become an important tool in the management of patients suffering from nAMD.



**Figure 3. Drusen analysis detects retinal pigment epithelium elevations.** Drusen baseline is a best fit retinal pigment epithelium. Retinal pigment epithelium segmentation is then compared with the best fit retinal pigment epithelium. Image courtesy of Carl Zeiss Meditec Inc. (Dublin, CA, USA).

Furthermore, owing to its high resolution, SD-OCT imaging leads to new insights in microstructural processes and a more profound understanding of a patient's underlying RPE status. This may help to more accurately estimate a patient's prognosis in both dAMD and nAMD, because the RPE is a relevant structure in all forms of AMD. Using SD-OCT the same scan pattern can be used to acquire 3D data permitting volumetric measurement of drusen for quantitative assessment of drusen progression and to create SD-OCT fundus images that can be used to evaluate atrophic lesions of GA.

SD-OCT technology in combination with the evaluation of robust algorithms that allow for automated, quantitative assessment of drusen and atrophic lesions could potentially provide more



**Figure 4. Subretinal pigment epithelium slab provided by the new Advanced RPE Analysis tool represents the summed reflectivity in the region below Bruch's membrane.** The small line indicates the shortest distance between areas of reflectivity below Bruch's membrane and the macula. Image courtesy of Carl Zeiss Meditec Inc. (Dublin, CA, USA).

objective and easier classification of AMD. This may help to more precisely determine disease stage as well as prognosis and provides the opportunity to monitor the effectiveness of new therapies in clinical trials.

### Future perspective

Regarding AMD research, SD-OCT imaging in combination with reliable algorithms that

allow for automated quantitative assessment of atrophic lesions and drusen offer new opportunities and may become a powerful tool in diagnosing and monitoring AMD. As growth of GA has become a primary end point in clinical trials of drugs for the treatment of dAMD, it might offer the feasibility to automatically and objectively quantitate GA and the progression of GA. Moreover, it allows for automated qualitative and

## Executive summary

### Development of optical coherence tomography technology

- Optical coherence tomography (OCT) functions as a type of optical biopsy.
- Spectral domain OCT (SD-OCT) exhibits important advantages over time domain OCT:
  - SD-OCT allows for a more than 100-times faster scan acquisition than time domain-OCT
  - SD-OCT offers improved sensitivity, even small ultrastructural changes are detectable
  - SD-OCT provides complete 3D data sets of the retina, so the retinal geometry can be evaluated
  - OCT-fundus images can be obtained and allow for direct comparison with data derived from corresponding cross-sectional B-scans

### Progressive implementation of SD-OCT in clinical practice

- SD-OCT is progressively utilized in diagnosing and monitoring different macular diseases (e.g., macular edema, macular holes, epiretinal membranes and vitreomacular traction syndrome).
- SD-OCT seems to be an established diagnostic tool to monitor the therapeutic effect of antiangiogenic drugs in neovascular age-related macular degeneration (AMD).

### The role of SD-OCT in dry AMD

- Pigmentary changes as well as the increase in size and number of drusen are used for staging AMD and for predicting the likelihood of progression.
- Stereoscopic color fundus photographs are the gold standard for reading centers to assess drusen size and number to predict disease progression, but entail the risk of interobserver variability and do not permit volumetric assessment.
- Fundus autofluorescence has been used in large studies for noninvasive imaging of atrophic lesions in geographic atrophy (GA) and to indicate GA progression, but does not provide 3D information regarding retinal structure.
- SD-OCT imaging information on both drusen and GA can be obtained from the same scan. 3D information on retinal structure is available and should allow for volumetric assessment.

### Evaluation of drusen & atrophic lesions with SD-OCT

- SD-OCT can be used for imaging the ultrastructure of drusen *in vivo*.
- Drusen assessment by SD-OCT shows correlation with results of fundus photography.
- Reliable algorithms that allow for automated volumetric assessment of drusen are under investigation.
- SD-OCT margins of GA can be easily identified on SD-OCT fundus images.
- SD-OCT imaging provides a more detailed insight into retinal alterations of GA patients than fundus autofluorescence.

### Advanced retinal pigment epithelium analysis tool

- A new software analysis program 'Advanced RPE Analysis' is based on two new algorithms:
  - One algorithm to measure elevations in the retinal pigment epithelium (RPE) for identifying drusen
  - One algorithm to measure areas of sub-RPE illumination where the OCT is able to penetrate through to the choroid, indicating that the RPE is atrophic
  - Analysis results are presented along with calculated values in two clearly arranged screens
- Efficacy and reproducibility of the algorithm underlying RPE elevation (indicating drusen) has been shown. Further studies are required to prove accuracy, reproducibility and feasibility of this new analysis tool.

### Conclusion

- SD-OCT imaging allows for high-speed, high-resolution imaging of retinal structures offering 3D information on retinal structure.
- It leads to more profound understanding of a patient's underlying RPE status.
- SD-OCT technology in combination with robust algorithms allowing for automated, quantitative assessment of drusen and atrophic lesions could potentially provide more objective and easier classification of AMD.
- This may be helpful in staging and prognosing AMD and in monitoring the effectiveness of new therapies in clinical trials.

### Future perspective

- SD-OCT in combination with reliable algorithms may allow for automated quantitative assessment of drusen and GA.
- This may become a useful clinical tool for diagnosing and monitoring AMD.
- Novel clinical trial end points may be identified by quantifying changes of drusen or atrophic lesions over time.
- SD-OCT imaging may contribute to a better understanding of the causes underlying AMD.
- Polarization-sensitive SD-OCT might provide even more detailed information on the RPE status.

quantitative assessment of drusen, which may become a useful clinical tool for evaluation of disease progression. As drusen as well as atrophic lesions can be evaluated from the same scan pattern, all forms of AMD can be assessed quantitatively. Finally, it allows for the detection of these morphologic alterations over time, which should – in conjunction with functional testing – aid in the stratification of stages of disease progression and allow for monitoring progression of disease over time. Of course, in this context it is of utmost importance to define and evaluate reliable and reproducible parameters that allow for grading AMD using SD-OCT. Moreover, quantifying changes in drusen volume and atrophic lesions over time with SD-OCT provides a novel strategy for identifying novel clinical trial end points for clinical studies on new therapeutic drugs in AMD. Furthermore, SD-OCT imaging permits simultaneous measurement of GA along with loss of photoreceptors and RPE and, thus, may contribute to a better understanding of the relationship between GA enlargement, loss of photoreceptors/RPE and visual loss. Another

future possibility might be SD-OCT examination of photoreceptor layer. Schuman *et al.* detected a significant thinning of the photoreceptor layer over drusen [54]. Thus, photoreceptor changes might be used to investigate even earlier events in drusen formation. Furthermore, polarization-sensitive OCT, a novel technology detecting the depolarizing properties of the RPE in addition to information obtained by conventional SD-OCT scans, might provide even more detailed information on the RPE status and may help to better estimate the functional prognosis of AMD [55].

#### Financial & competing interests disclosure

*The author has no relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties.*

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