Prediction and assessment of the long-term outcomes of coronary chronic total occlusion-percutaneous coronary intervention

Abstract

Coronary Chronic Total Occlusions (CTOs) are completely occluded coronary arteries that have no antegrade coronary flow for an estimated duration of at least 3 months. Although Percutaneous Coronary Intervention (PCI) for CTO lesions (CTO-PCI) has a lower success rate and a higher complication rate than PCI for nonocclusive lesions, owing to recent improvements in devices and strategies, CTO-PCI may be a valid strategy for patients with ischemic heart disease.

The clinical benefits of CTO-PCI have remained ambiguous because most investigations on CTO-PCI are non-randomized observational trials that compared successful and unsuccessful cases of CTO-PCI. Recent randomized controlled trials that compared CTO-PCI and optimal medical therapy or the non-use of CTO-PCI failed to reveal the clinical benefits of CTO-PCI. Although the initial success rate of CTO-PCI was improved, no obvious indication for CTO-PCI was established, except for the relief of symptoms and the improvement of quality of life. However, there are cases in which CTO-PCI provides significant benefits in daily practice.

The following actions are required to establish the validity of CTO-PCI:

1) Determining indications for which CTO-PCI would result in the most favorable outcomes and

2) Developing standardized pre-procedural risk assessment tools that can assist the identification of patients who are at a high risk of developing long-term clinical events.

Keywords: Chronic total occlusion . Percutaneous coronary intervention . Long-term outcome . Coronary artery disease

Abbreviations

AUC: Area Under the Curve; CAD: Coronary Artery Disease; CABG: Coronary Artery Bypass Grafting; CI: Confidence Interval; CTO: Chronic Total Occlusion; ICD: Implantable Cardioverter-Defibrillator; LV: Left Ventricle; LVEF: Left Ventricular Ejection Fraction; MACCEs: Major Adverse Cardiac And Cerebrovascular Events; MI: Myocardial Infarction; OR: Odds Ratio; OMT: Optimal Medical Therapy; PCI: Percutaneous Coronary Intervention; QOL: Quality of life; RCTs: Randomized Clinical Trials; ROC: Receiver Operator Characteristic

Introduction

Percutaneous Coronary Intervention (PCI) is a valid treatment option for patients with Chronic Total Occlusion (CTO). However, post-PCI patient outcomes vary significantly among medical centers. Additionally, the optimal treatment strategies remain controversial, despite technological and methodological advancements [1–4].
in medicine. Moreover, the high incidence of long-term Major Adverse Cardiac and Cerebrovascular Events (MACCEs) remains unresolved. Under the current treatment protocols, over 20.7% of post-CTO-PCI patients experience restenosis within 5 years of the procedure, which is considerably higher than the restenosis rate in non-CTO-PCI patients [5]. Conversely, the long-term outcome of Coronary Artery Bypass Grafting (CABG) for CTO is favorable, with the achievement of over 90% patency of the left internal mammary artery [6]. In current guidelines and the global consensus concerning CTO-PCI, the recommendation level is limited [7-9].

The CTO-PCI procedure is generally complex [10-13], requiring high volumes of contrast media and radiation [14,15]. The effectiveness of CTO-PCI is limited to the relief of angina symptoms and Quality Of Life (QOL) improvement, while the long-term clinical outcomes have not been established in Randomized Controlled Trials (RCTs). CTO-PCI is the most difficult aspect of the treatment strategy for Coronary Artery Disease (CAD), and it is laborious and is associated with the risk of severe complications. The inclusion of CTO-PCI in the current treatment strategy for CAD necessitates determining when CTO-PCI would be beneficial for patients with CAD, along with the pre-procedural prediction of long-term mortality to ensure favorable long-term outcomes.

**Literature Review**

**Clinical benefits of CTO-PCI**

- **From observational trials:** Historically, the clinical benefits of CTO-PCI have been assessed using registry data and non-randomized observational studies [16-19]. Several clinical benefits of CTO-PCI were observed in these studies.

A meta-analysis including 25 studies with 28,486 patients (29,315 CTO-PCI procedures) revealed that a successful CTO-PCI was a significant predictor of all-cause mortality (Odds Ratio (OR) 0.52; 95% Confidence Interval (CI), 0.43–0.63). It was also observed that angina symptoms were improved in the CTO-PCI success group compared with those in the CTO-PCI failure group (OR=0.38; 95% CI, 0.24-0.60) [20].

Jones, et al. [21] and George, et al. [22] reported that successful CTO-PCI, compared to unsuccessful CTO-PCI, improved long-term survival. Mehran, et al. also demonstrated that successful CTO-PCI decreased the need for CABG (hazard ratio, 0.21; 95% CI, 0.13–0.40; p<0.01) [23].

CTO-PCI is also expected to improve Left Ventricular (LV) contraction. Galassi, et al. investigated the effects of CTO-PCI for the improvement of low LV function in a prospective longitudinal multicenter study of consecutive patients. The authors divided 839 patients into three groups based on their pre-procedural Left Ventricular Ejection Fraction (LVEF) (≤ 35%, 35%-50%, and ≤ 50%). The success rate of CTO-PCI did not differ between the three groups (93.5% vs. 94.4% vs. 91.7%, respectively; p-values were not significant). In patients with LVEF ≤ 35%, LVEF improved significantly, that is, from 29.1% ± 3.4% to 41.6% ± 7.9% (p<0.001), when CTO-PCI was performed successfully [24].

The OPEN-CTO (Outcomes, Patient Health Status, and Efficiency in Chronic Total Occlusion Hybrid Procedures) study was designed to address the methodological limitations of prior studies on CTO-PCI, including efforts to ensure consecutive enrollment, complete and systematic reporting of adverse events, and comprehensive health status assessments before and after CTO-PCI. The technical success was high (86%). In-hospital and 1-month mortalities were 0.9% and 1.3%, respectively. Notably, among patients who survived and completed the 1-month interview, Seattle Angina Questionnaire QOL scores improved from 49.4 ± 0.9 to 75.0 ± 0.7 (p<0.01), mean Rose Dyspnea Scale scores improved (decreased) from 2.0 ± 0.1 to 1.1 ± 0.1 (p<0.01), and physician health questionnaire (for depression) scores improved (decreased) from 6.2 ± 0.2 to 3.5 ± 0.1 (p<0.01) at 1 month [12].

- **From randomized controlled trials:** The majority of non-randomized studies on the clinical benefits of CTO-PCI compared the outcomes of patients who underwent successful CTO-PCI with those of patients who underwent unsuccessful CTO-PCI, including bias such as the assumption that patients who have more complex background clinical features are more likely to have unsuccessful CTO-PCI. Furthermore, undergoing an attempt that expected to fail, at PCI for a CTO could be potentially harmful because the CTO-PCI is a high-risk procedure that includes the risk of complications associated with the collateral donor artery, along with cardiac tamponade caused by vessel perforation. Therefore, because non-randomized trials for CTO-PCI may include certain biases, the results of these trials may not be considered absolute evidence. In recent years, RCTs on CTO-PCI have been performed worldwide [25,26].

The Randomized Multicentre Trial to Evaluate the Utilization of Revascularization or Optimal Medical Therapy for the Treatment of Chronic Total Coronary Occlusions was a prospective RCT that included 396 patients who were divided into two groups: A group that received CTO-PCI plus Optimal Medical Therapy (OMT) and a group that received OMT alone (in a ratio of 2:1). This
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The CTO-PCI procedure is generally complex [12] and requires a high volume of contrast media and radiation during the procedure [14,15]. The worldwide success rate of CTO-PCI is low. A meta-analysis that included 61 studies identified strong negative predictors of the clinical success of CTO-PCI [29]. Furthermore, several risk models for the prediction of initial procedural success have been developed [30-32].

The Multicenter CTO Registry in Japan (J-CTO) score was established in 2011, and this score predicted the probability of successful guidewire crossing within 30 min [30]. The variables included in the J-CTO score were calcification, bending, blunt stump, occlusion length >20 mm, and lesions with previous failure. The performance of this scoring model was assessed by Receiver Operator Characteristic (ROC) analysis (Area Under the Curve [AUC], 0.82; validation cohort, 0.76).

The Prospective Global Registry for the Study of Chronic Total Occlusion Intervention (PROGRESS-CTO) [31] score was developed based on 781 CTO-PCIs in seven U.S. centers with significant expertise in CTO-PCI, using variables such as no interventional collaterals, proximal cap ambiguity, moderate or severe tortuosity, and left circumflex CTO. The resulting score demonstrated good calibration and discriminatory capacity in the derivation (Hosmer-Lemeshow chi-square=2.633; p=0.268, and ROC area=0.778) and validation (Hosmer-Lemeshow chi-square=4.533; p=0.070, and ROC area=0.720) subsets.

The clinical and lesion-related score (CL score) was established to predict the final procedural success of CTO-PCI and was based on the prospectively collected data of 1,657 consecutive patients who underwent a first-attempt CTO-PCI [32]. Independent predictors of unsuccessful CTO-PCI were scored according to the corresponding OR size. The scores were as follows: Severe calcification=2, previous CABG=1.5, lesion length ≥ 20 mm=1.5, previous MI=1, blunt stump=1, and non-left anterior descending artery CTO location=1.

The performance of both the PROGRESS-CTO and CL-scores was compared with that of the J-CTO score in each cohort, and the performance of both scores was similar to that of the J-CTO score.

In brief, these two well-conducted RCTs demonstrated similar negative results regarding long-term clinical event rates associated with CTO-PCI, although they had several limitations. Although an appropriately powered study is required to validate the outcomes of these studies, to make CTO-PCI a more effective treatment option for patients with CAD, the results of these studies are noteworthy and the indication for CTO-PCI should be reconsidered.

**Prediction of initial success:** The CTO-PCI procedure is generally complex [12] and requires a high volume of contrast media and radiation during the procedure [14,15]. The worldwide success rate of CTO-PCI is low. A meta-analysis that included 61 studies identified strong negative predictors of the clinical success of CTO-PCI [29]. Furthermore, several risk models for the prediction of initial procedural success have been developed [30-32].

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The Euro-CTO (CASTLE) score is the most recent scoring model developed using a prospective database. This score was established in 2008 and it was formulated based on >20,000 cases submitted by CTO expert operators (>50 cases/year) [33]. The variables of this scoring system were CABG history, age (≥ 70 years), stump anatomy (blunt or invisible), degree of tortuosity (severe or unseen), length of occlusion (≥ 20 mm), and extent of calcification (severe). When each parameter was assigned a value of 1, the technical failure increased from 8% (with a CASTLE score of 0 to 1) to 35% (with a score ≥ 4). The AUC was similar for both the derivation (AUC: 0.66) and validation (AUC: 0.68) datasets. The strength of this model is its development from the largest database of CTO cases to date. These scoring systems are well established and are used in daily clinical practice.

**Discussion**

**Assessment and prediction of long-term outcome**

As mentioned above, many risk models for assessing the initial success of CTO-PCI have been established. However, these models do not effectively predict long-term outcomes following the procedure. Forouzandeh, et al. revealed the effects of the J-CTO and PROGRESS scores for the prediction of chronic events after CTO-PCI; however, the study populations were small and the procedural success rates were lower than those in conventional CTO studies [34].

The biggest problem with CTO-PCI is the lack of evidence for its long-term clinical benefits, which may not exceed the procedural difficulty.

The establishment of CTO-PCI requires two actions: Determining indications for which CTO-PCI would result in the most favorable outcomes and the development of standardized pre-procedural risk assessment tools to assist the identification of patients who are at a high risk of developing long-term clinical events (Figure 1).

The ventricular arrhythmias among implantable cardioverter-defibrillator recipients for primary prevention study is an observational study that compared the occurrence of appropriate Implantable Cardioverter-Defibrillator (ICD) delivery for ventricular arrhythmias in patients with and without CTO [35]. A total of 162 CAD patients with an ICD were included in the study and were followed up for a median of 26 months. Of the 162 patients, there was at least one case of CTO in 71 patients (44%). Appropriate device therapy was detected in 18% of patients during follow-up. The presence of CTO was associated with higher ventricular arrhythmia and mortality rates (log-rank test, p<0.01). Multivariate analysis revealed that CTO was independently associated with appropriate ICD interventions.

Safley, et al. revealed that the threshold of baseline ischemic burden was 12.5% in 301 patients who underwent CTO-PCI at a single center between 2002 and 2007. Patients were included in this study if myocardial perfusion imaging was performed within 12 ± 3 months before PCI and a follow-up study was conducted within 12 ± 3 months after PCI [36]. These findings suggested a potential benefit of CTO-PCI or a limited indication of CTO-PCI, i.e., it contributed to the long-term clinical outcomes of the patients. However, to clarify and establish the indications for CTO-PCI, a well-established RCT including a population that is expected to have a favorable clinical benefit is essential.

The J-CTO extension score was developed as a prediction model for long-term risk after PCI for coronary CTO, based on pre-procedural clinical information, to predict the chronic outcomes of CTO-PCI [37]. The score comprises a hemodialysis score of 3, an in-stent occlusion score of 2, an LVEF<35% score of 2, and a diabetes mellitus score of 1. The J-CTO extension score can predict the incidence of MACCE, including death, stroke, revascularization, and non-fatal MI at the 1-year follow-up. Figure 2 demonstrates an ROC curve analysis for predicting the

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**Figure 1:** Flowchart of the assessment of the indication for CTO-PCI. **Abbreviations:** CTO: Chronic Total Occlusion; PCI: Percutaneous Coronary Intervention; QOL: Quality of Life.
incidence of 1-year MACCE, along with a comparison among the J-CTO, CL, PROGRESS, and J-CTO extension scores. The AUCs are 0.518 (95% CI of 0.473-0.563, p=0.406), 0.540 (95% CI of 0.496-0.583, p=0.066), and 0.514 (95% CI of 0.472-0.556, p=0.509) for the J-CTO, CL, and PROGRESS scores, respectively. The J-CTO extension score was the only score that was associated with the incidence of MACCE, with an AUC of 0.634 (95% CI, 0.590-0.678; p <0.0001). However, this model has limitations such as a low follow-up rate (approximately 45%) and the potential to miss hard endpoints (e.g., all-cause death and non-fatal MI and stroke) that might affect the results. However, pre-procedural prediction of long-term outcome is important to assess the indications of CTO-PCI, and further analysis is required in populations with a high follow-up rate.

Conclusion

Currently, the main benefits of CTO-PCI include the improvement of angina symptoms and QOL. The CTO-PCI procedure is difficult and is associated with the risk of complications; however, risk models for the prediction of initial success have been developed. The long-term outcomes of CTO-PCI need to be assessed, and the specific indications need to be established. Thus, conditions (i.e., ventricular arrhythmia and large ischemic burden) in which CTO-PCI may produce maximum clinical benefits should be identified, along with the pre-procedural prediction of long-term outcomes. CTO-PCI should be performed only after careful selection of patients and the pre-procedural prediction of initial and long-term outcomes. CTO-PCI seems to be an effective treatment option for patients with CAD.

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Competing Interests

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