Percutaneous therapy versus surgery in chronic back pain: how important is imaging in decision-making?

This article addresses whether or not imaging (including both noninvasive and invasive techniques) is important in decision-making for the treatment of patients with chronic low back pain. It begins by studying the characteristics of low back pain. A brief summary of all diagnostic techniques is given, including radiography and axial imaging with CT and MRI, as well as invasive techniques (i.e., discography, myelography and infiltrations). A close and critical inspection is then made upon the value and limitations inherent in these different techniques. In conclusion, future improved imaging that will contribute to more proper patient selection is described.

KEYWORDS: chronic imaging low back pain percutaneous therapy surgery

Low back pain (LBP) is a very common pathology if one considers that it has a lifetime prevalence of 70–80% and an annual incidence of 15–20% in the USA, second only to the common cold [1,2]. It is the most common disability cause in individuals aged <45 years [1-3]. LBP is usually a self-limiting procedure; however, many of the patients receive routine imaging for it. LBP can be classified according to the time frame of acute, subacute and chronic, with intervertebral disc herniation, facet syndrome and spinal stenosis accounting for the majority of cases [2]. Despite the self-limiting character of LBP, approximately one-third of patients report persistence of symptoms and activity limitations at 1-year postsymptoms appearance (chronic pain) [4].

There are continuously growing costs associated with LBP which account not only for medical imaging and therapeutic costs, but also for indirect costs due to productivity loss. Since there is widespread agreement for the use of imaging prior to surgery, it is not noteworthy that there is a simultaneous increase in the number of spine MRI and lumbar surgical operations [5]. However, despite the increase in imaging and surgery numbers, patients did not report any benefit on outcome scores (concerning physical function, working, mental health or social limitation) compared with those treated conservatively [5]. Speaking on financial terms, approximately 5% of the people with LBP account for approximately 75% of the costs associated with the pathology [6].

The majority of patients with LBP are treated conservatively [7]. The development of new surgical techniques and equipment has led to an increase in the use of surgical options for LBP. Lumbar fusion procedures have increased by 134–200% between 1993 and 2003 [8,9]. Choosing between different therapies can be difficult, especially when physical findings fail to correlate with symptoms. Furthermore, surgical indications are unclear and there is a limited consensus upon the appropriate treatment measure (anatomical defect correction vs symptoms improvement).

The advances in imaging technology and instrumentation have led to the development of other treatment techniques for LBP that avoid an open surgery through the spinal canal. Unsurprisingly, imaging plays a pivotal and unique role, not only in decision-making, but also as a guiding tool for these patients.

Low back pain
In terms of time frame, LBP can be classified into acute (lasts less than 6 weeks), subacute (duration of 6 weeks to 3 months) or chronic (lasts more than 3 months) [3,10]. According to its etiology, LBP can be classified into: nonspecific LBP; LBP associated with radiculopathy or spinal stenosis; and referent LBP due to specific spinal cause [3,11].

Furthermore, according to the type of symptoms present, LBP can be accompanied by sciatica/neuralgia, neurologic compromise or spinal stenosis. In general, symptoms can be regional (90% usually originate from musculoskeletal local/mechanic cause) or systemic (10%) [3,11]. In addition, patients should always be evaluated for evidence of psychological distress that will cause amplification or prolongation of symptoms, especially in chronic LBP [3].
Goals of therapy should include pain reduction, mobility improvement, fast recovery, prevention of developing a chronic or recurrent condition and restoration of physical and social comfort. The usual approach to the therapy of LBP seems to be a staircase with four steps:

- **Conservative therapy** (4–6 week course with analgesics, anti-inflammatory drugs, muscle relaxants, physiotherapy and change of dietary habit – immobilization and bed rest are controversial, since recent studies advocate preservation of a patient’s normal physical activity);
- **Image-guided infiltrations** with corticosteroid and/or local anesthetic (providing diagnostic value when used to determine and certify a potential source of pain, as well as therapeutic value when used as palliative therapies during the acute phase until therapy or natural recovery occurs);
- **Percutaneous, image-guided minimally invasive therapies** (less traumatic percutaneous techniques performed under imaging guidance with small-sized instrumentation and percutaneous approach);
- **Surgical options.**

In cases of severe neurological deficit (e.g., cauda equina syndrome), surgical options are a priority.

In this article we will focus upon the value of imaging in decision-making for the most common degenerative causes of chronic pain (facet joint syndrome, intervertebral disc herniation and spinal stenosis) leaving aside other less common degenerative causes or systemic pathology (cancer and infection in which decision-making is performed during multispecialist boards) [12].

### Facet joint syndrome

Osteoarthritis frequently affects facet joints. Imaging studies illustrate joint space narrowing and/or intra-articular vacuum phenomenon or fluid, osteophytes (usually involving the upper articular surface of the lower vertebra) and hypertrophy of flaval ligaments (Figure 1) [13]. Although x-rays can illustrate the osseous degenerative changes, axial imaging with CT or MRI completely evaluates not only the inflammatory and degenerative process, but also its consequences (stenosis usually of the lateral recess or of the neural foramen and rarely of the central canal) [13]. The degenerative process can be further complicated by synovial cyst formation (which either remains connected to the joint or not) with subsequent pressure upon neural structures (Figure 2).

During clinical examination, there are no specific signs of facet joint syndrome. However, suspicion is raised in patients with pain at the facet joint level exacerbated with pressure, hyperextension, torsion and lateral bending, pain usually worse when waking up from bed or trying to stand after prolonged sitting. This kind of pain might radiate to thigh, iliac crest and, rarely, to the groin.

In case of equivocal findings, contrast enhancement of the facet during MRI is an indicator of inflammation. In order to correlate to the symptoms, percutaneous image-guided infiltration of the joint with local anesthetic will produce temporary pain reduction or relief verifying the particulate facet joint as the pain source [14]. Patients with symptomatic facet joint syndrome can initially be treated conservatively with a course of drugs (e.g., analgesics, muscle relaxants and NSAIDs), immobilization, bed rest and physical therapy [14]. As a second step, image-guided infiltrations can be performed (during or after medical treatment). Although there is moderate evidence-based medicine for this technique, it is commonly practiced and governed by a high success rate when applied to proper patients [14]. When infiltrations do not provide the expected results, radiofrequency ablation of the medial branch nerves of the dorsal rami innervating each facet may act as an attractive alternative, either by image-guided neuromodulation or neurolysis [14,15].

Surgical options include bone spur removal. Furthermore, in the cases of degenerative spondylolisthesis, a direct repair of pars interarticularis defect (pars repair) and slip reduction in conjunction to arthrodesis and spinal fusion can be performed.

### Intervertebral disc herniation

Herniation of the intervertebral disc usually affects patients who are 30–40 years old [15]. The most commonly affected levels are L4–L5 and L5–S1 [16].

Following the previous scheme, patients with symptomatic intervertebral disc herniation can initially be treated conservatively with a 4–6 week course of analgesics, muscle relaxants, NSAIDs, immobilization, bed rest and physical therapy [14,17]. Image-guided infiltrations can either be performed in combination to this course or solely performed.

Percutaneous, image-guided decompression techniques are therapeutic treatments for...
intervertebral disc herniation that can be performed under imaging guidance as outpatient procedures. Using a percutaneous, posterolateral approach, a trocar is inserted inside the disc thus gaining access for a thermal, chemical or mechanical decompression, with the least disruption of surrounding tissues. According to the theory of the Hijikata principle, by removing a small nuclear volume, the result is a significant intradiscal pressure decrease [14,17,18]. Other theories support that there is acceleration of disc degeneration, and thus, size reduction and potential inwards imploding of the herniated fragment. A single center, prospective, randomized trial has shown that these treatments have better and more sustained results than medical therapy [17].

Surgical treatments offer removal of the affected disc. This can require partial or complete laminae removal [18]. Nowadays, this can be performed with less invasive techniques (microdiscectomy) requiring no bone removal and/or combined with implants positioning at the disc level [14,19].

**Spinal stenosis**

Spinal stenosis is caused by degeneration of the intervertebral disc, flaval ligaments and facet joints leading to hypertrophic and sclerotic changes. These changes reduce the surface area of the spinal canal and put pressure upon neural elements and their blood supply. Imaging studies that illustrate the reduced surface area and the subsequent narrowing of the spinal canal provide diagnosis of spinal stenosis. Grossly, any antero–posterior diameter measurement <12 mm is an indication of the spinal canal’s pathologic narrowing [20]. Symptoms usually start at the age of 50–60 years [21]. The most commonly affected levels are L3–L4 and L4–L5 [21].

The initial therapeutic steps of spinal stenosis include conservative therapy and infiltrations, although response to these treatment is moderate [22].

Percutaneous, image-guided decompression techniques are therapeutic treatments for spinal stenosis which can be performed under imaging guidance as outpatient procedures. Under percutaneous approach, fixation devices that raise the height of the posterior elements, achieve decompression [23].

Decompression is also the goal of surgical therapy and it is achieved by the removal of bony and/or soft tissue elements that put pressure upon the neural elements (dural sac and/or nerve roots) [22,24]. Extensive decompression results in spinal instability that requires either arthrodesis (bone graft) and/or instrumentation (fixation devices) [22,24].

**Imaging**

Imaging techniques for LBP can be classified in noninvasive imaging and minimally invasive diagnostic techniques (myelography, discography/quantitative discomanometry [QD] and image guided infiltrations).

- **Radiography**

Imaging planning begins with plain films of the spinal column, which are promptly available and
These imaging techniques of the spinal column are mostly obtained in order to provide information about spinal bony elements and possible vertebral misalignment, thus excluding other potential sites and causes of pain origin

Although in the past, radiography was widely used for evaluation of the musculoskeletal system, nowadays CT and MRI have systematically replaced standard x-rays. Nevertheless, even today x-rays play a central role in the evaluation of musculoskeletal pathology and are the first exam to be performed from the diagnostic armamentarium. This is due to the low cost and widespread availability of x-rays, as well as the dynamic, load-bearing information obtained. In every case, patient positioning and knowledge of the technologist and modern equipment still constitute a requisite. Modern equipment with pulsed fluoroscopy and reconstructed CT-like images provides valuable information faster and at lower radiation doses. In addition, it can serve as a guiding method for other diagnostic procedures.

**CT**

Advances in CT technology, including both the hardware and software (e.g., multidetector CT, 3D software and high-speed computers, among others), have greatly altered the use of the modality for musculoskeletal imaging. One great advantage is the widespread availability of this modality and its superiority (concerning resolution) over x-rays in bone tissue. In postoperative patients, sagittal and coronal reconstructions evaluate bony bridging and integrity of the fusion. In spines, although indications for surgery are still debatable, 75% of the fusions performed are due to spinal stenosis, disc pathology or other degenerative causes. The fixation devices used are either from stainless steel (producing substantial artifacts) or titanium (artifacts are less profound in these implants).

CT is the modality of choice for the evaluation of failure or complication in postoperative spinal patients. Multiplanar reformattting images are crucial for evaluating the placement of the screw in a pedicle. Furthermore, with correct windowing, nerve impingement due to screw malpositioning can be illustrated. In any case, imaging findings must be associated with those of clinical examination. It is characteristic that the majority of the literature evaluates surgical results based on CT findings, demonstrating the key role of the modality postsurgical treatment of any kind.

**MRI**

MRI provides both anatomical (concerning hypertrophic changes and stenosis of the spinal canal) and functional (concerning disc hydration) information. The standard imaging MR protocol for LBP patients (in the absence of absolute contraindications concerning MR performance) includes T1- and T2-weighted sequences with or without fat saturation and short TI inversion recovery sequences. Degenerated intervertebral discs are characterized by hypoxia, inflammation, innervations, accelerated catabolism and reduced water and glucosaminoglycan content. Nowadays, these pathophysiologic/degenerative phenomena can be measured with functional MR sequences and techniques such as MR spectroscopy, T1p calculation, T2 relaxation time measurement and diffusion quantitative imaging. Further clinical studies are necessary in order to prove whether these novel techniques can aid in proper patient selection or evaluate treatment efficacy.

MR scans in T2-weighted sequences may show a regional signal of increased intensity in the annulus fibrosus (high intensity zone), which is considered as an annular fissure and is closely related to discogenic pain, thus being an additional tool to patient selection.

Although MRI is the modality of choice concerning the evaluation of back pain, it lacks...
the specificity to associate the imaging to the clinical findings (Figure 3). In addition, it is not as sensitive as provocative discography in the detection of annular fissures and internal disc disruption in general. In addition, 13–14% of high-intensity zones and annular fissures discovered on MR scans do not correlate to the patient’s clinical complaints or are reported on individuals without LBP [33,34]. Furthermore, studies upon asymptomatic volunteers report that 38% of the control asymptomatic group had MR scans with abnormal findings in more than one disc level [33].

**Myelography**

Although the increase in performed MR scans has reduced the request for myelography, it has not eliminated it, particularly for patients who are not compatible with MRI, in cases where MRI is not available or in postoperative patients (whenever metal artifacts reduce the scan’s diagnostic quality) (Figure 4). Clinical indications of myelography include:

- Factors contraindicating MRI (e.g., pacemaker, neurostimulator and claustrophobia, among others);
- Spinal surgical hardware that, due to extensive artifacts, result in scans of low diagnostic quality;
- Patients with equivocal MR findings that require further evaluation;
- Cases where dynamic or tilting MRI is not possible and there is a need for dynamically assessing instability or stenosis.

Contraindications of myelography include allergies to iodinated contrast agents, seizures or drugs lowering the seizure threshold, blood modifiers, increased intracranial pressure, uncooperative patients and pregnancy. The most common complication is headache postpuncture, the incidence of which decreases in correlation to the reduced diameter of the needle used [35]. Rarer complications include seizures, nausea and/or vomiting, bleeding, infection, arachnoiditis and nerve root injury.

Myelography reports include a description of all intramedullary, intradural extramedullary and extradural abnormalities, as well as specific details of the pathologic levels. Nowadays, classic myelography is combined with subsequent scans of multislice CT and flat panel detector-based and volumetric CT, providing multiplanal spinal images at low radiation doses and 3D reconstructions adequately depicting the disc space and potential postsurgical implants, as well as high-resolution images of osseous structures [36,37]. Recent studies report complete matching and observer agreement between high-resolution images of noninvasive 3T MR myelography and CT scans immediately postdiscography for the diagnosis of far lateral disc herniation [38].

**Discography**

Provocative discography is a diagnostic image-guided procedure, during which a needle is percutaneously placed inside the intervertebral disc for contrast medium injection to the nucleus pulposus (Figure 5). The technique’s diagnostic value lies upon the correlation of the symptoms (e.g., the patient’s usual pain) to the intervertebral disc’s morphology and is evidenced first by the pain triggering, which may be induced through intradiscal volume and pressure increase; and second by the assessment of the disc’s morphology with the contrast medium, as evidenced in fluoroscopy and/or CT [39].

Although spine x-rays, myelography, CT and MRI illustrate the morphologic changes of intervertebral discs associated with discogenic pain, they cannot reproduce the patient’s clinical syndrome and thus cannot characterize a disc as symptomatic or not, as stated above.

A great amount of controversy was raised concerning the discography’s diagnostic value, advantages, disadvantages and possible complications [40–42]. Despite its minimal invasive nature, provocative discography is the only diagnostic procedure used for the evaluation of LBP that directly correlates internal disc

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**Figure 3. Lumbar MRI of the same patient 7 months apart.** (A) MR sagittal scan (T2-weighted sequence) illustrating resorption of the L5–S1 disc herniation. The patient underwent a single session of foraminal infiltration with total pain reduction. (B) Follow-up (after 7 months) MR sagittal scan (T2-weighted sequence) of the same patient. Resorption of the herniation at the L5–S1 intervertebral disc.
morphology with the patient’s triggered pain response [43]. In 1995, the North American Spine Society published an article by Guyer et al., reaching a consensus on specific patient indications for this test [44].

Currently, refined provocative discography is considered a valuable diagnostic tool for the evaluation of LBP, which should ideally be performed as a complementary procedure, mainly after MRI and prior to percutaneous treatments or surgery. In addition, instrumentation development allows us to perform the injection constantly measuring intradiscal pressures and injectate’s volume at the same time and performing correlations of these values (QD). Preliminary studies have shown that this technique may contribute to proper patient selection by setting disc pressure thresholds that are governed by statistically significant higher chances of improved outcome post-treatment [45]. Furthermore, recent studies have shown that, in cases where diagnostic uncertainty remained after clinical examination and diagnostic MRI, discography altered the initial decisions of the spine specialists [46].

- **Diagnostic infiltrations**

  Occasionally, differentiating a symptomatic from a nonsymptomatic imaging abnormality might be difficult. Image-guided percutaneous infiltration with local anesthetic may help in defining whether a certain imaging abnormality is the source of pain [47,48]. Facet joint infiltrations can be used to differentiate symptomatic from asymptomatic levels and sides or to verify/exclude the facet joint as source of pain. The same is valid for sacroiliac joint infiltrations or injections for piriformis syndrome [49]. Transforaminal nerve root infiltrations assist in verifying the presence and the causative level of a certain radiculopathy.

- **Value of imaging in decision-making**

  Imaging is expected to contribute in diagnosis confirmation or establishment, to exclude other suspected abnormalities, to assess location and extent of pathology and to aid in treatment planning. The clinician’s experience plays a significant role in the perception of the diagnosis from imaging studies. Furthermore, sometimes certain clinicians, despite the imaging finding, seem to stay attached to their initial treatment plan. Moreover, patient symptoms might differ from the clinical assessment to imaging, reflecting the pathology’s natural history.

  Most importantly, imaging will steer the physician towards the possible treatment options for a defined pathology. Specifically, concerning disc herniation, percutaneous decompression techniques are contraindicated (and therefore, surgical options should be considered) in the presence of a free, noncontained or sequestered fragment and in the presence of herniation occupying more than one-third of the spinal canal’s diameter. There are also situations where equivocal results of tests, such as provocative and analgesic discograms, are considered to be a contraindication for operation [18]. Findings of decreased disc height do not favor percutaneous techniques, as they exhibit moderate improvement in these patients [17]. In addition, isthmic lysis is considered to be a contraindication in all percutaneous decompression techniques except intradiscal alcohol gel injection [18].

  Sometimes clinical evaluation will guide the treatment, despite the imaging findings, as in the case of cauda equina syndrome or signs of neurological deficit, or whenever general contraindications of any minimal invasive procedure are encountered [18]. Active infection is considered to be a contraindication for all therapeutic arms [18].
There is no doubt that clinical examination should be the first step when seeking diagnosis for the patient with LBP. Imaging is a valuable additive that follows clinical evaluation either immediately when there is a need to identify underlying systemic disease (MRI is an excellent tool for that), or when the initial course of conservative therapy has failed in LBP patients due to degenerative causes \(^{49,50}\). Following this rule, one can succeed in decreasing the radiation exposure, reducing the financial cost and minimizing the provocation of unnecessary percutaneous or surgical intervention. Beyond doubt, imaging (particularly MRI) clearly illustrates disc degeneration and herniation; however, there is poor correlation between clinical and imaging (especially from noninvasive diagnostic techniques) findings \(^{43}\). On the other hand, percutaneous, minimally invasive, image-guided techniques (infiltrations and discography) can correlate the imaging finding to the patient’s pain pattern or neurologic deficit, thus verifying a certain abnormality as the pain source.

The source of pain seems to be the most important factor determining the therapeutic (surgical or percutaneous) outcome. However, this source of pain is often not accurately identified from a patient’s record and clinical evaluation. Furthermore, sometimes there are more than one pain generators that must be addressed in order to achieve a successful treatment. Occasionally, when psychological factors are masking or exacerbating symptoms, the combination of clinical and imaging examinations may conclude in inaccurate diagnosis. On the other hand, many studies in the literature evaluating the effect of imaging upon decision-making seem to be susceptible to selection bias. This results from the keenness of the surgeon to apply a certain treatment \(^{51}\). In addition, spine surgery rates seem to be governed by geographic variation, which clearly reflects a lack of consensus among indications governing these therapies. It has been demonstrated that the level of clinical agreement for most appropriate diagnostic and therapeutic techniques is grouped by geographic location \(^{46}\). Doctors in the same area tend to ask for the same imaging and apply similar surgical treatments.

There is an absence of strong evidence favoring percutaneous or surgical therapeutic techniques for the treatment of disc herniation or spinal stenosis; therefore, a high variation degree in practicing is reported to be further affected by patient’s preference as well \(^{46}\). Spine specialists may differently define the success of a certain therapy, set their thresholds for imaging definitions of certain pathology and interpret signs, symptoms and imaging findings, even on the same diagnostic imaging report.

**Conclusion**

LBP is a debilitating condition that can be treated with conservative, percutaneous/minimally invasive or surgical therapies. A clinical decision
for a certain therapy depends on the specialization of the doctor. The absence of high level evidence-based medicine, according to the literature, promotes this tendency. No doubt, imaging (not lacking its own specific limitations) can guide the spine specialist to take the best therapeutic decision, as long as indications for imaging are respected and findings of imaging are properly described, evaluated and interpreted by the spine specialist. At the end of the day, success of a certain therapy is defined by its effect upon the patient’s wellbeing. It is a fact that higher evidence (large, prospective, randomized blind studies) will result in more confident clinical decisions.

**Future perspective: where do we go from here**

Up until now, all noninvasive diagnostic techniques were static. During the last two decades, efforts of performing MRI in dynamic positions were performed (flexion–extension). Nowadays, tilting MRI, which performs sequences at both supine and standing positions, provides additional diagnostic information. With tilting MRI, examination is performed with the spine at its natural weight-bearing position, thus revealing all the biomechanical changes that exist between standing and supine positions. The true position of tilting MRI within the diagnostic armamentarium still remains to be defined. A possible option is to reserve it for patients with LBP and negative MRI in supine position, in patients whose symptoms appear only during standing or in those patients who do not improve after an initial course of conservative therapy [52,53].

Currently, new therapies for disc degeneration are being developed focusing on functional characteristics and on the cellular level. These new therapies, instead of removing the disc or accelerating disc degeneration, actually aim in repair and regeneration. Under this scope, new imaging techniques will be required, which will reveal the source of pain and/or quantify the therapeutic result. These new techniques include the measurement of T2 relaxation time and T1ρ time constant, diffusion of solute into the disc, chemical spectra and radionucleotides [31]. This functional imaging will illustrate biochemical and inflammatory processes that produce discogenic pain and, at the same time, will improve proper patient selection for minimally invasive techniques. The use of reparative therapeutic techniques, especially the ones which aim in repairing or reversing degeneration, will probably augment the number of image-guided procedures, as those are less invasive.

Another technique which integrates imaging findings to objective clinical measurement is percutaneous QD (Figure 6). It is a variation of discography’s standard technique, during which the intradiscal injection is performed at a constant rate, and at the same time there is recording of both the injected volume as well as the intradiscal pressures, thus producing a pressure–volume curve [45]. This pressure–volume curve provides valuable information concerning the biomechanical integrity of the endplate–intervertebral disc complex. With QD, the recording of intradiscal pressure and injected volume evaluates both the physical status and the degree of degeneration of...
the intervertebral disc. The successful outcome of minimally invasive therapeutic techniques is highly dependent upon these two parameters, since they establish proper patient selection. Recently, a study has shown that the maximum intradiscal pressure seems to be the most important parameter, which could act as an indicator of degeneration, strength of the endplate–disc complex and successful outcome of therapeutic techniques [45].

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Executive summary

Background
- Low back pain (LBP) is a very commonly encountered pathology with high costs, both to the patient and the health system.

Imaging
- Clinical evaluation and imaging are the pillars of diagnostic evaluation; both clinical and imaging findings should be correlated in all cases.

Low back pain
- Although usually self-limited, LBP is governed by an increase in the number of both MR scans and surgical operations performed; presurgical imaging with MRI is a requisite. However, indications for surgical treatments and evaluation of their effect still remains controversial.

Radiography
- Simple x-rays remain the imaging pillar, especially in evaluating spinal instability and axis.

MRI
- MRI (either standard or dynamic/tilt) is the diagnostic imaging of choice for the evaluation of patients with chronic LBP. Newer functional sequences provide valuable information, not only for more proper patient selection, but also for the evaluation of therapeutic effects.

Minimally invasive therapeutic techniques
- Evolution of imaging and instrumentation has led to the development of percutaneous, minimally invasive techniques for disc decompression (or more recently to newer techniques which aim in the reversal of the disc’s degenerative process) and spinal stenosis.

Minimally invasive diagnostic techniques
- In the case of inconclusive findings, discography/discomanometry, myelography and diagnostic infiltrations can provide problem-solving information.

Imaging
- Imaging possesses a central role in decision-making for the therapy of chronic LBP; however, at the end of the day, spine specialists should not focus on the images, but on the clinical data. Therefore, correlation of both clinical and imaging findings will provide the best information for decision-making and proper treatment.

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