Current evidence base for chronic total occlusion revascularization

Abstract: Chronic total occlusion (CTO) is defined as when atherosclerotic coronary artery disease (CAD) produces the complete or almost complete obstruction of flow in an epicardial vessel for a period of 3 months or greater. Successful revascularization of CTOs is associated with improvement in symptoms, quality of life and prognosis. The safety and success of percutaneous treatment of CTO has increased in recent years, particularly with the advent of hybrid approaches combining antegrade, retrograde and limited subintimal methods. Although no randomized controlled trial data directly comparing different treatment strategies are available, this review will summarize the available data supporting the use of CTO percutaneous coronary intervention (PCI) to improve patient outcomes.

Keywords: chronic total occlusion, indications, percutaneous revascularization, successful recanalization

Introduction: Chronic total occlusion (CTO) is defined as when atherosclerotic coronary artery disease (CAD) produces the complete or almost complete obstruction of flow in an epicardial vessel for a period of 3 months or greater [1,2]. Successful revascularization of CTOs is associated with improvement in symptoms, quality of life and prognosis [3]. The safety and success of percutaneous treatment of CTO has increased in recent years, particularly with the advent of hybrid approaches combining antegrade, retrograde and limited subintimal methods [4]. Although no randomized controlled trial data directly comparing different treatment strategies are available, this review will summarize the available data supporting the use of CTO percutaneous coronary intervention (PCI) to improve patient outcomes.

Prevalence & current management

Registry data demonstrate that 15–30% of patients undergoing diagnostic coronary angiography will have at least one occluded coronary artery [5,6]. Prevalence data are more variable, mainly owing to differing definitions of what constitutes a CTO lesion and limitations of the study’s design. The Canadian CTO registry reported a prevalence of 18.4% in patients with significant CAD undergoing nonurgent coronary angiography [7], while a retrospective review of >6500 patients reported CTO lesions in up to 52% of patients [8]. However, the true prevalence is probably unknown owing to the proportion of patients who have little or no symptoms.

Patients are referred for CTO revascularization for a variety of reasons. Most commonly, typical symptoms of stable exertional angina or a positive noninvasive test prompt coronary angiography. Alternatively, a coincidental nonculprit CTO lesion is found during treatment for an acute coronary syndrome. Indeed, new presentations with acute coronary syndrome are rarely directly attributable to CTO lesions, although many patients with CTO lesions will have evidence of previous myocardial infarction (MI) [9,10].

The treatment options available to patients with documented CTOs include medical therapy alone or in combination with PCI or coronary artery bypass graft (CABG). CABG has historically been the preferred revascularization option owing to its higher immediate procedural success rate in the CTO vessel. In addition, many CTO patients have multivessel disease and will more frequently require target vessel revascularization (TVR) after PCI. The Canadian CTO registry data demonstrate that out of 1697 patients, only 10% had attempted CTO PCI. In total, 20% had PCI performed in non-CTO arteries, 44% were treated with medical therapy and 26% underwent CABG [7]. Other factors that may have contributed to the low referral rate for CTO PCI include physician skepticism over the benefits of CTO PCI and financial disincentives for the hospital. With respect to the durability of successful CTO PCI the advent of drug-eluting stents has significantly reduced the risk of restenosis and need for repeat revascularization. TVR rates for CTO PCI are now comparable to those for other ‘off-label’ drug-eluting stent procedures [11]. Although the rate of TVR following CTO PCI may reach as high as 16% [12,13], it is also important to recognize that in a randomized trial of 400 patients assessing 1 year patency after bypass grafting of collateralized CTO vessels only 23% of non-left anterior descending artery CTO grafts remained patent. However, the surgical results of grafting left anterior descending artery CTOs were much better with 100% patency at 12 months [14].

Use of the SYNTAX score to guide CTO revascularization

There are no trials specifically comparing outcomes following CABG or PCI in CTO patients. The SYNTAX trial assessed the optimal method of revascularization for patients with multivessel CAD [15], of whom around one-quarter in each treatment arm had at least one CTO. Overall, the trial found that as the complexity and extent of coronary disease increased (as assessed by the SYNTAX score), major adverse cardiac event rates increased at...
3 years in patients treated with PCI compared with CABG [16].

CTOs contribute significantly to the SYNTAX score owing to their complexity and lower success rate compared with non-CTO PCI. Hence the presence of a CTO currently represents one of the most common reasons for CABG referral [8,17,18]. However, the treatment and outcome of CTOs within the SYNTAX trial differed considerably from contemporary practice. Less than 50% of SYNTAX CTO lesions were successfully treated by PCI. In comparison, 70% of CTOs were successfully re-opened with PCI in the Canadian Multicenter registry [7], and success rates of >85% are now reported in high volume centers [19]. The poorer procedural outcomes in the SYNTAX CTO subset may reflect varying degrees of operator experience or differences in case selection and preprocedural planning. Case selection may be particularly relevant since the overall success rate of CTO bypass grafting was also only 68% on an intention-to-treat basis [20]. This highlights the situation whereby use of the SYNTAX score alone may weigh a decision towards CABG, whereas in contemporary practice using newer devices and second- or third-generation drug-eluting stents, CTO PCI could offer an acceptable alternative with respect to procedural success, outcomes and TVR. To more accurately compare revascularization options in CTO, patients will require the development of novel angiographic scoring systems to reliably predict CTO PCI success in individual cases. Such systems will additionally need to incorporate assessment of viability and ischemia in the CTO territory to determine whether revascularization of the CTO by either technique is appropriate.

**Improving procedural success**

Procedural success in recanalizing CTOs depends on a number of factors. Cases are carefully selected with consideration given to the clinical context and symptom burden relating to both the culprit lesion, extent of coronary disease in other vessels, left ventricular (LV) function, presence of valvular disease and the technical likelihood of success [2]. However, as operator experience grows and development of dedicated CTO equipment continues, it is likely that an increasing proportion of CTOs can be selected for PCI. Indeed, success rates now approach 90% in the hands of experienced CTO PCI operators (Figure 1) [4,21–23]. These latest studies reflect modern practice, in high-volume CTO centers where the use of highly specialized equipment and precise preprocedural planning has become routine. It is important to appreciate that at each center, there will be a significant operator learning curve and this must be factored into revascularization decisions. For example, in the study by Whittle et al., a procedural success rate of 67% for the first 75 cases recruited for the FAST-CTO study rose to 87% for the second 75 cases [23], and Thompson et al. reported that success rates using the retrograde approach increased from 75 to 90% during 395 cases [4].

**Benefits of successful CTO revascularization**

Prospective registry data have demonstrated that successful PCI of a CTO lesion is associated with better symptomatic outcome, a reduced need for CABG, a lower rate of major adverse cardiac events at 12 months and improved survival when compared with unsuccessful attempts [1,3,10,21,24,25]. Proposed contributors to improved survival include improved LV function, reduction in risk of ischemia-related malignant arrhythmias and better tolerance of contralateral coronary occlusion [3,24]. These will be reviewed below.

**Improved tolerability of acute coronary syndrome events**

A CTO of a nonculprit vessel is a strong predictor of cardiogenic shock [26,27] and mortality in ST-elevation MI (STEMI) [27–32]. Mortality in patients with a noninfarct related artery (IRA) CTO is increased twofold compared with STEMI patients with single-vessel disease (1 year mortality 63 vs 31%) [30]. This so-called ‘double jeopardy’ of a non-IRA CTO and a newly occluded artery is seen in 7–13% of patients presenting with STEMI [31–33]. After primary PCI, non-IRA CTOs are associated with impaired reperfusion [34], reduced immediate LV ejection fraction and further deterioration of LV function over the following year with an associated increase in mortality [31].

The presence of CTO in a non-IRA has a comparable effect on the outcome of non-STEMI (NSTEMI) patients. A retrospective study comparing non-IRA CTO with triple-vessel disease without CTO demonstrated a 12 month mortality of 21% in the CTO group and 12% in the non-CTO group [35].

**Ischemia burden & clinical symptoms**

CTO lesions can cause varying degrees of ischemic burden depending on the extent of prior infarction in the subtended myocardium and the adequacy of any collateral blood supply. The extent of ischemic burden may have significant impact on the
benefits of revascularization.

When compared with optimal medical therapy (OMT) alone, it has been shown that revascularized patients with moderate-to-large amounts of inducible ischemia measured by myocardial perfusion stress imaging (defined by >12.5% of ischemic myocardium) have a survival benefit [36]. However, the COURAGE trial showed that although reduction in ischemia by PCI led to better relief from angina and reduced need for subsequent revascularization, rates of death, nonfatal MI and need for hospitalization were not lowered by the addition of PCI to OMT. The benefits of ischemia reduction were thus clear, but the optimal method by which to achieve ischemia reduction (OMT or PCI plus OMT) was less certain [37].

Ischemic burden in CTO patients has been shown to be significantly reduced by PCI [38]. Patients with baseline ischemia saw a significant reduction following PCI, with those with the severest ischemia at baseline enjoying the largest reduction at 15 months postrevascularization (-16.26% change; p ≤ 0.0001); mild baseline ischemia (-1.7% change; p = 0.008); moderate baseline ischemia (-6.32% change; p ≤ 0.0001). The COURAGE nuclear substudy reported similar findings whereby the reduction in ischemic myocardium measured by myocardial perfusion scintigraphy was greater with PCI plus OMT than with OMT alone (-2.7 vs -0.5%) and a greater proportion of patients with moderate-to-severe ischemia achieved a significant (≥5%) reduction in ischemia with PCI [39].

The ongoing ISCHEMIA trial [101], will expand the findings of the COURAGE trial by determining the optimal management strategy for patients with stable CAD and moderate-to-severe ischemia. Uniquely, patients will be randomized to OMT or OMT plus PCI before angiographic assessment. It is hoped that this strategy will eliminate selection bias against trial participation related to angiographic lesion severity (the occlustenotic reflex).

In previous trials, 85–90% of patients with CTO lesions are reported as symptomatic of ‘typical angina’ [9,10,40]. Successful CTO PCI is associated with improvement in angina status, physical abilities and overall quality of life, quantified using the Seattle Angina Questionnaire [41]. Although these results were most significant in the patient group reporting preprocedural angina, the effect was also noted in asymptomatic patients. Six out of 13 studies included in a meta-analysis comparing successful and failed CTO recanalization recorded angina status and have shown that successful recanalization was associated with a significant reduction in residual/recurrent angina. These pooled data demonstrated a 55% relative reduction in residual angina (odds ratio: 0.45; 95% CI: 0.30–0.67) [25].

Asymptomatic patients remain a controversial group. Likelihood of revascularization has been shown to consistently increase with increasing inducible ischemia to approximately 10–12.5% of myocardial ischemia, after which point the likelihood of revascularization becomes more dependent on the presence of typical anginal symptoms [36].

Arrhythmia risk

Ischemia, arrhythmia and sudden cardiac death have an important association. Indeed the extent of stress perfusion defects on single-photon emission computed tomography perfusion imaging has been used as a risk stratification tool for sudden cardiac death in CAD patients with ejection fraction >35% [42].

Patients with CTOs have a greater risk of sudden cardiac death or appropriate implantable cardioverter defibrillator therapy compared with non-CTO patients [43]. Chronic hypoperfusion of myocardium and associated electrical instability may serve to explain the link with life-threatening arrhythmias. The VACTO study analyzed implantable cardioverter defibrillator therapy in patients with CAD and found that 21% of patients with CTO had appropriate device therapy after 1-year follow-up compared with 7% in the non-CTO group. More than 30% of CTO patients had appropriate device therapy after 3-year follow-up, compared with 15% in the non-CTO group [43]. These data not only highlight the association between CTO and arrhythmia, but also identify CTO as an important independent predictor of device therapy.

LV function & myocardial viability

CTOs are associated with both impaired LV function and adverse remodeling [31]. Several small studies have assessed the effects of CTO PCI on LV function [44,45]. The largest of these studied 27 patients before, and 5 months after, successful PCI for CTO by contrast-enhanced MRI. Improvement was observed in both LV end-diastolic and end-systolic volumes but mean left ventricular ejection fraction (LVEF) did not change significantly. The presence of viability (defined by <50% transmurality of scar in a segment of LV myocardium) predicted improvement in segmental wall thickening, end-systolic volume and LVEF [45].

Other studies have shown improvement in LV function following CTO PCI, but the overall effect is small [46–49]. A study of 21 patients using cardiovascular MRI for evaluation of LV function 3 years after CTO recanalization found a nonsignificant mean improvement in ejection fraction (from 60 ± 9% to 63 ± 11%; p = 0.11) and significant decreases in mean end-systolic and -diastolic volume indices, as above [50]. Another retrospective study demonstrated over-all improvements in LV function but differences between MI and non-MI patients in the impact of CTO recanalization [46].

Important questions remain over the best marker for predicting functional recovery postrevascularization and whether measures such as improved segmental wall motion, reduction in LV volumes, reduction in ischemia and improvement in LVEF actually confer a prognostic benefit for the patient. Certainly when compared with the effects of other invasive heart failure treatments, such as cardiac resynchronization device therapy, where top quartile responders were shown to have mean increases of up to 14.5% [51,52], the
effects of CTO PCI on LVEF are small. However, the effect on LVEF in the overall CTO population may seem less marked owing to normal function at baseline, previously shown in >50% of patients (Figures 2 & 3) [7].

Major adverse cardiac events & mortality
Historical data from 10 years of follow-up of successful CTO PCI cases demonstrate a survival advantage when compared with failed revascularization [21]. Hoye et al. have shown, in a retrospective registry analysis of 874 patients, that successful PCI of a CTO leads to a significantly improved survival rate (93.5% compared with 88%; p < 0.0001), but also an improvement in survival free from major adverse events (63.7 vs 41.7%; p < 0.0001) over 5 years [3]. More recent data comparing successful with unsuccessful CTO PCI continue to show a similar survival advantage [53]. All-cause mortality at 5 years was 4.5 vs 17.2%, (p = 0.0001) with a significant reduction in need for CABG measured after successful revascularization. These figures underline the importance of successful procedural outcomes.

Conclusion & future perspective
As our understanding of CTO pathology and the development of specific techniques and devices for CTO PCI improves, a wider variety of CTO lesions are amenable to safe percutaneous revascularization. With this comes a responsibility to continually reassess the data supporting CTO revascularization as a tool for improving the lives of patients. At present, although these data support a clear prognostic and symptomatic benefit of successful CTO PCI in comparison to unsuccessful revascularization, we lack randomized controlled trial data comparing CTO revascularization (whether by PCI or CABG) with OMT alone. Until this is available, it remains the role of the Heart Team to decide upon treatment options. Deficiencies of the current SYNTAX score in predicting CTO PCI success in the modern era, along with controversies surrounding ischemia burden, viability assessment and LV function recovery will continue to challenge the Heart Team to arrive at an optimal decision for each patient. While both US and European guidelines for the appropriateness of CTO PCI do exist, they now lag behind clinical practice. Indeed, with the publication of a rapidly increasing body of clinical evidence supporting CTO PCI, it is expected that current guidelines will soon be outdated. It is perhaps more necessary than ever that, when CTO cases are discussed, a high-volume CTO PCI operator versed in the latest techniques is available to advocate the case for PCI.

If the PCI option is selected, then careful strategy planning is required to minimize the risk of complications and to achieve successful revascularization. However, if the primary revascularization strategy is unsuccessful, it is important that the Heart Team is reconvened to discuss further options, since the outcome for patients with unsuccessful attempts remains poor.

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Executive summary

Guiding chronic total occlusion revascularization
- Chronic total occlusion (CTO) lesions are reasonably common, seen in 15–30% of patients undergoing diagnostic coronary angiography.
- SYNTAX scoring is routinely used during decision-making.
regarding strategies for revascularization. The disproportionate influence of a CTO lesion on the SYNTAX score may no longer be appropriate in experienced CTO centers with the highest procedural success rates.

- The use of the Heart Team and individual case review has a role in the appropriate case selection to maximize successful outcomes in the CTO population.

Benefits of successful revascularization

- Successful revascularization is important in maximizing short- and long-term outcomes, while unsuccessful attempts increase the rate of postprocedural complications.

Future perspective

- Reduction in ischemia, clinical symptoms, arrhythmia, improvement in long-term left ventricle function and survival are important clinical end points for CTO studies. Randomized controlled trial evidence is required to firmly establish the role of CTO percutaneous coronary intervention in patient management.

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